



## TECHNICAL UNIVERSITY OF MOMBASA

Box 90420-80100

Email: [procurement@tum.ac.ke](mailto:procurement@tum.ac.ke)

TENDER NO. TUM/REG/2023-2025

### REGISTRATION OF SUPPLIERS, CONTRACTORS AND CONSULTANTS FOR SUPPLY OF GOODS, WORKS AND SERVICES IN THE FINANCIAL YEARS 2023-2025

Category Name \_\_\_\_\_

Category Ref No. \_\_\_\_\_

IF SPECIAL GROUP PLEASE INDICATE BELOW (✓)

YOUTH

WOMEN

PERSONS WITH DISABILITY

*(Applicants must also submit registration details in the supplier portal.*

<https://suppliers.tum.ac.ke/>)

**SECTION I****INVITATION TO APPLY FOR REGISTRATION OF SUPPLIERS****1.1.** Name of Contract: **REGISTRATION OF SUPPLIERS****1.2.** Registration Reference No.: *TUM/REG/2023-2025*

1.3. Technical University of Mombasa (hereinafter referred to as TUM) invites sealed applications from ALL eligible firms to participate in the registration application for the applicable categories as tabulated below: -

No.	Tender Reference No.	Description	Eligibility
<b>GOODS</b>			
1.	TUM/REG/01/2023/2025	Supply and Delivery of General Office Stationery	Youth, Women & PWDs
2.	TUM/REG/02/2023/2025	Supply and Delivery of Computer Accessories, Tonners and Cartridges	Open
3.	TUM/REG/03/2023/2025	Supply and Delivery of Foods Stuffs	Youth, Women & PWDS
4.	TUM/REG/04/2023/2025	Supply and delivery of Beef, Mutton, Fish and its allied products, Poultry and Poultry Products	Open
5.	TUM/REG/05/2023/2025	Supply and Delivery of bulk clean fresh drinking and borehole water [water bowser]	Open
6.	TUM/REG/06/2023/2025	Supply and Delivery of Cleaning Materials, Detergents, Disinfectants and Sundry Items	Youth, Women & PWDs
7.	TUM/REG/07/2023/2025	Supply and Delivery of Motor Vehicle Spare Parts, Batteries Tires and Tubes, Oil and Lubricants and allied products	Open
8.	TUM/REG/08/2023/2025	Supply and Delivery of Gas cylinders and Cooking Gas	Open
9.	TUM/REG/09/2023/2025	Supply and Delivery of Hardware and Building, Timber, Plumbing, Iron Mongery & Welding materials	Open
10.	TUM/REG/10/2023/2025	Supply and Delivery of Electrical, Electronic materials and Related Components.	Open
11.	TUM/REG/11/2023/2025	Supply and Delivery of Pharmaceutical and Non-Pharmaceutical	Open
12.	TUM/REG/12/2023/2025	Supply and Delivery of Laboratory Chemicals and Reagents and Laboratory Equipment	Open
13.	TUM/REG/13/2023/2025	Supply and Delivery of Textbooks, Periodicals, Magazines and other reading materials	Open
14.	TUM/REG/14/2023/2025	Supply, Delivery and Installation of Computers, Laptops, Printers, Photocopiers, Scanners and Accessories	Open
15.	TUM/REG/15/2023/2025	Supply and Delivery of Office Furniture and Fittings	Open
16.	TUM/REG/16/2023/2025	Supply and Delivery of Staff Uniforms, Protective Wear, paramilitary Uniforms, Curtains and beddings and laundry services	Youth, Women & PWDS
17.	TUM/REG/17/2023/2025	Supply and delivery of sportswear, equipment and related services	Open
18.	TUM/REG/18/2023/2025	Supply and Delivery of Stainless steel Catering Equipment & Related Kitchen Wares, Cutlery and Crockery	Open
19.	TUM/REG/19/2023/2025	Supply, Delivery and Installation of Computer Software and Networking Materials	Open
20.	TUM/REG/20/2023/2025	Supply, delivery, installation, commissioning and maintenance of telecommunications equipment, PABX Systems and related items and services	Open

21.	TUM/REG/21/2023/2025	Supply and Delivery of Charcoal and Dry firewood	Youth, Women & PWDs
22.	TUM/REG/22/2023/2025	Supply, delivery, installation and servicing & maintenance air conditioners, cold Room Equipment & other refrigeration items	Open
23.	TUM/REG/23/2023/2025	Supply, Delivery, Servicing and Maintenance of Fire Fighting Equipment and fire alarms	Open
24.	TUM/REG/24/2023/2025	Design, Printing & Delivery of Promotion Communication & Corporate branded materials e.g. T-shirts, clocks, bags, key holders, caps, diaries, other materials e.g. certificates, exam booklets etc.	Youth, Women & PWDs
<b>WORKS AND SERVICES</b>			
25.	TUM/REG/25/2023/2025	Provision of small works; building construction, generalcivil works, electrical and mechanical works etc.	Open
26.	TUM/REG/26/2023/2025	Provision of Specialized Servicing of Motor Vehicles i.e. Toyota, Nissan, Isuzu and Ford registered dealers etc.	Open
27.	TUM/REG/27/2023/2025	Repair and Maintenance of ICT equipment and Photocopier Machines	Open
28.	TUM/REG/28/2023/2025	Provision of Event Organizing Services, Hiring of Tents, Chairs, tables, decorating materials and Sound System.	Youth, Women & PWDs
29.	TUM/REG/29/2023/2025	Provision of Insurance Services	Open
30.	TUM/REG/30/2023/2025	Provision of Consultancy Services Such as In-House Training And Capacity Building, ISO, Governance Audit, Asset tagging etc.	Open

1.4. Applicants **must** fill information in the space provided in page one of these tender document.

1.5. Qualified and interested tenderers may obtain further information and inspect the Tender Documents during office hours [0800to1700hours]attheaddressgiven below.

1.6. A complete set of application documents may be obtained by interested tenderer from Technical University of Mombasa website: [www.tum.ac.ke](http://www.tum.ac.ke) or PPIP portal <http://tenders.go.ke> free of charge.(<https://suppliers.tum.ac.ke/>).

1.7. The Registration Application **Must** be prepared in indelible ink/typed with no interlineations or overwriting, except as necessary to correct errors made by the applicant. Any such corrections must be initialed by the person or persons signing the RegistrationApplication.

1.8. All applicants should clearly indicate in the envelope the category they are interested in. One document shall present ONLY ONE category. For those interested in various categories, they should submit separate documents in the various categories.

1.9. The Registration Application should be prepared and submitted in a plain sealed envelope clearly marked: **Application No: (indicate the application No of the category you are applying for as provided under clause 1.0 above)** and addressed to:

**THE VICE CHANCELLOR  
TECHNICAL UNIVERSITY OF MOMBASA  
P.O. BOX 90420-80100MOMBASA, KENYA**

should be deposited in the **TENDER BOX A** located at the Administration Block Ground Floor on or before **WEDNESDAY 5<sup>TH</sup> JULY,2023 at 10.00 am EAT**. Bids will be opened immediately thereafter at the University Conference Room.

1.10. The closing/opening date for submission of registration documents shall be on **WEDNESDAY 5<sup>TH</sup> JULY,2023 at 10.00 am EAT**.

- 1.11. The opening of Applications shall be conducted immediately thereafter in the University Conference Room in the presence of applicants or their representatives who wish to attend.
- 1.12. Any Registration document submitted after the deadline shall be automatically rejected.
- 1.13. Applicants can apply for registration in more than one category provided that the applications are done in **separate documents for each category**.
- 1.14. Existing or Current Suppliers will be required to apply.
- 1.15. Any form of canvassing will lead to automatic disqualification.

## SECTION I

### INSTRUCTIONS TO APPLICANTS (ITA)

#### General

##### **1 Scope of Application**

1.1 The name of the Procuring Entity inviting for applications is defined in the Registration Documents (**PDS/RDS**). The particular type of contract (works, goods or Non-Consulting Services required) and its name and description of the contract(s) and its reference number are defined in the **PDS**. If the scope of contract so defined is in multiple contracts, it will be specified in the **PDS** if Registration will be based on individual contracts or multiple contracts. The Full scope of Works or Goods or Non-Consulting Services are described in Section V (Scope of Works or goods contract).

**2 Source of Funds** to be specified in the PDS, if deemed necessary.

##### **3 Fraud and Corruption**

3.1 The Government of Kenya requires compliance with its Anti-Corruption laws and its prevailing sanctions policies and procedures.

3.2 In further pursuance of this policy, Applicants shall permit and shall cause their agents (where declared or not), subcontractors, sub consultants, service providers, suppliers, and their personnel, to permit the Public Procurement Regulatory Authority (PPRA) to inspect all counts, records and other documents relating to any initial selection process, Registration process, tender submission (in case prequalified), proposal submission, and contract performance (in the case of award), and to have them audited by auditors appointed by the PPRA.

##### **4 Collusive practices**

4.1 The Procuring Entity requires compliance with the provisions of the Competition Act 2010, regarding collusive practices in contracting. Any applicant found to have engaged in collusive conduct shall be disqualified and criminal and/or civil sanctions may be imposed. To this effect, applicants shall be required to complete and sign a Certificate of Independent Tender Determination" annexed to the Form of applicant.

##### **5 Eligible Applicants**

5.1 Applicants shall meet the eligibility criteria as per this ITA and ITA 5.1 and 5.2. An Applicant may be a firm that is a private entity, a state-owned enterprise or institution subject to ITA 5.9 or any combination of such entities in the form of a joint venture ("JV") under an existing agreement or with the intent to enter into such an agreement supported by a letter of intent. In the case of a joint venture, all members shall be jointly and severally liable for the execution of the entire Contract in accordance with the Contract terms. The JV shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the Registration process, tendering (in the event the JV submits a Tender) and during contract execution (in the event the JV is awarded the Contract). Members of a joint venture may not also make an individual tender, be a subcontractor in a separate tender or be part of another joint venture for the purposes of the same Tender. The maximum number of JV members shall be specified in the PDS.

- 5.2 Public Officers of the Procuring Entity, their Spouses, Child, Parent, Brothers or Sister. Child, Parent, Brother or Sister of a Spouse, their business associates or agents and firms/organizations in which they have a substantial or controlling interest shall not be eligible to be prequalified. Public Officers with such relatives are also not allowed to participate in any procurement proceedings.
- 5.3 A firm may apply for Registration both individually, and as part of a joint venture, or participate as a subcontractor. If prequalified, it will not be permitted to tender for the same contract both as an individual firm and as a part of the joint venture or as a subcontractor. However, a firm may participate as a subcontractor in more than one Tender, but only in that capacity. Tenders submitted in violation of this procedure will be rejected.
- 5.4 A firm and any of its affiliates (that directly or indirectly control, are controlled by or are under common control with that firm) may submit its application for Registration either individually, as joint venture or as a subcontractor among them for the same contract. However, if prequalified, only one prequalified Applicant will be allowed to tender for the. All Tenders submitted in violation of this procedure will be rejected.
- 5.5 An Applicant may have the nationality of any country, subject to the restrictions pursuant to ITA 5.1 and 5.2. An Applicant shall be deemed to have the nationality of a country if the Applicant is constituted, incorporated or registered in and operates in conformity with the provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. Sub-contractors or suppliers for any part of the Contract including related Non- Consulting Services.
- 5.6 Applicants shall not have a conflict of interest. Applicants shall be considered to have a conflict of interest, if they, or any of their affiliates, participated as a consultant in the preparation of the design or technical specifications or have been hired or proposed to be hired by the Procuring Entity as Engineer for contract implementation of the contract(s) that are the subject of this prequalification. In addition, Applicants may be considered to have a conflict of interest if they have a close business or family relationship with a professional staff of the Procuring Entity who:
- a) are directly or indirectly involved in the preparation of the Registration Document or Invitation to Tender (ITT), Document or specifications of the Contract, and/or the Tender evaluation process of such Contract; or
  - b) Would be involved in the implementation or supervision of such Contract, unless the conflict stemming from such relationship has been resolved in a manner acceptable to the Procuring Entity throughout the prequalification, ITT process and execution of the Contract.
- 5.7 An Applicant that has been debarred shall be ineligible to be initially selected for, prequalified for, tender for, propose for, or be awarded a contract during such period of time as the PPRA shall have determined. The list of debarred firms and individuals is available at [www.ppra.go.ke](http://www.ppra.go.ke)
- 5.8 Applicants that are state-owned enterprise or institutions in Kenya may be eligible to prequalify, compete and be awarded a Contract(s) only if they can establish, in a manner acceptable to the Procuring Entity, that they
- (i) Are legally and financially autonomous (ii) operate under commercial law, and (iii) are not under supervision of any public entity.
  - (ii) An Applicant shall not be under sanction of debarment from Tendering by the PPRA as the result of the execution of a Tender/Proposal-Securing Declaration.
- 5.9 An Applicant that is a Kenyan firm or citizen shall provide evidence of having fulfilled his/her tax obligations by producing a current tax clearance certificate or tax exemption certificate issued by the Kenya Revenue Authority.

5.10 An Applicant shall provide any other such documentary evidence of eligibility satisfactory to the Procuring Entity, as the Procuring Entity shall reasonably request.

## **6 Eligibility**

6.1 Firms and individuals may be ineligible if they are nationals of ineligible countries as indicated herein. The countries, persons or entities are in eligible if:

- a. As a matter of law or official regulations, Kenya prohibits commercial relations with that country, or
- b. By an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, Kenya prohibits any import of goods or contracting of works or Non- Consulting Services from that country, or any payments to any country, person, or entity in that country.

6.2 When the Works, supply of Goods or provision of non-consulting services are implemented a cross jurisdictional boundary (and more than one country is a Procuring Entity, and is involved in the procurement), then exclusion of a firm or individual on the basis of ITA 5.1 (a) above by any country may be applied to that procurement a cross other countries involved, if the Procuring Entities involved in the procurement so agree.

6.3 Any goods, works and production processes with characteristics that have been declared by the relevant national environmental protection agency or by other competent authority as harm flute human beings and to the environment shall not be eligible for procurement.

## **7 Contents of the Registration Documents Sections of Registration Document**

7.1 This Registration Document consists of parts1 and 2 which comprise all the sections indicated below, and which should be read in conjunction with any Addendum issued in accordance with IT A8.

### **Registration Procedures**

- i) Section I- Instructions to Applicants (ITA)
- ii) Section II - Registration Data Sheet (PDS)
- iii) Section III - Qualification Criteria and Requirements
- iv) Section IV- Application Forms

7.2 Unless obtained directly from the Procuring Entity, the Procuring Entity accepts no responsibility for the completeness of the document, responses to requests for clarification, the minutes of the pre-Application meeting (if any), or Addenda to the Registration Document in accordance with ITA 8. In case of any discrepancies,documents issued directly by the Procuring Entity shall prevail.

7.3 The Applicant is expected to examine all instructions, forms, and terms in the Registration Document and to furnish with its Application all information or documentation as is required by the Registration Document.

## **8 Clarification of Registration Documents, site visit(s) and Pre-Application Meeting**

- 8.1 An Applicant requiring any clarification of the Registration Document shall contact the Procuring Entity in writing at the Procuring Entity's address indicated in the **PDS**. The Procuring Entity will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Procuring Entity shall forward a copy of its response to all prospective Applicants who have obtained the Registration Document directly from the Procuring Entity, including a description of the inquiry but without identifying its source. If so indicated in the **PDS**, the Procuring Entity shall also promptly publish its response at the webpage identified in the **PDS**. Should the Procuring Entity deem it necessary to amend the Registration Document as a result of a clarification, it shall do so following the procedure under ITA 8. And in accordance with the provisions of ITA 17.2.
- 8.2 The Applicant, at the Applicant's own responsibility and risk, is encouraged to visit and examine and inspect the Site of the required contracts and obtain all information that may be necessary for preparing the application. The costs of visiting the Site shall be at the Applicant's own expense. The Procuring Entity shall specify in the **PDS** if a pre-application meeting will be held, when and where. The Procuring Entity shall also specify in the **PDS** if a pre-arranged Site visit will be held and when. The Applicant's designated representative is invited to attend a pre-application meeting and a pre-arranged site visit. The purpose of the meetings will be to clarify issues and to answer questions on any matter that may be raised at that stage.
- 8.3 The Applicant is requested to submit any questions in writing, to reach the Procuring Entity not later than the period specified in the **PDS** before the submission date of applications.
- 8.4 Minutes of a pre-arranged site visit and those of the pre-application meeting, if applicable, including the text of the questions asked by Applicants and the responses given, together with any responses prepared after the meeting, will be transmitted promptly to all Applicants who have acquired the Registration documents. Minutes shall not identify the source of the questions asked.
- 8.5 The Procuring Entity shall also promptly publish anonymized (no names) Minutes of the pre-arranged site visit and those of the pre-proposal meeting at the web page identified in the **PDS**. Any modification to the Registration Documents that may become necessary as a result of the pre-arranged site visit and those of the pre-application meeting shall be made by the Procuring Entity exclusively through the issue of an Addendum pursuant to PDS 8 and not through the minutes of the pre-application meeting. Non-attendance at the pre-arranged site visit and the pre-tender meeting will not be a cause for disqualification of a Tenderer.

## **9 Amendment of Registration Document**

- 9.1 At any time prior to the deadline for submission of Applications, the Procuring Entity may amend the Registration Document by issuing an Addendum.
- 9.2 Any Addendum issued shall be part of the Registration Document and shall be communicated in writing to all Applicants who have obtained the Registration Document from the Procuring Entity. The Procuring Entity shall promptly publish the Addendum at the Procuring Entity's webpage identified in the **PDS**.
- 9.3 To give Applicants reasonable time to take an Addendum into account in preparing their Applications, the Procuring Entity may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 17.2.



## **10. Preparation of Applications**

### **Cost of Applications**

- 10.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Procuring Entity will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the Registration process.

## **11. Language of Application**

- 11.1 The Application as well as all correspondence and documents relating to the Registration exchanged by the Applicant and the Procuring Entity, shall be written in English Language. Supporting documents and printed literature that are part of the Application may be in another language, provided they are accompanied by an accurate translation of the relevant passages in the English language, in which case, for purposes of interpretation of the Application, the translation shall govern.

## **12. Documents Comprising the Application**

- 12.1 The Application shall comprise the following:
- a. Application Submission Letter, in accordance with ITA 13.1;
  - b. Eligibility: documentary evidence establishing the Applicant's eligibility, in accordance with ITA 14.1;
  - c. Qualifications: documentary evidence establishing the Applicant's qualifications, in accordance with ITA 15; and
  - d. Any other document required as specified in the PDS.
- 12.2 The Applicant shall furnish information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this Application.

## **13. Application Submission Letter**

The Applicant shall complete an Application Submission Letter as provided in Section IV (Application Forms). This Letter must be completed without any alteration to its format.

## **14. Documents Establishing the Eligibility of the Applicant**

To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Letter and Forms ELI (eligibility) 1.1 and 1.2, included in Section IV (Application Forms).

## **15. Documents Establishing the Qualifications of the Applicant**

- 15.1 To establish its qualifications to perform the contract(s) in accordance with Section III, Qualification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Information Sheets included in Section IV (Application Forms).
- 15.2 Wherever an Application Form requires an Applicant to state a monetary amount, Applicants should indicate the Kenya Shilling equivalent using the rate of exchange determined as follows:
- a) For construction turnover or financial data required for each Year-Exchange rate prevailing on the last day of the respective calendar year (in which the amounts for that year is to be converted).

b) Value of single Contract-Exchange rate prevailing on the date of the contract.

- 15.3 Exchange rates shall be taken from the publicly available source identified in the PDS. Any error in determining the exchange rates in the Application may be corrected by the Procuring Entity.
- 15.4 Applicants shall be asked to provide, as part of the data for qualification, such information, including details of ownership, as shall be required to determine whether, according to the classification established by the Procuring Entity, a particular contractor or group of contractor's qualifies for a margin of preference. Further the information will enable the Procuring Entity identify any actual or potential conflict of interest in relation to the procurement and/or contract management processes, or a possibility of collusion between Applicants, and thereby help to prevent any corrupt influence in relation to the procurement processor contract management.
- 15.5 The purpose of the information described in ITT 6.2 above overrides any claims to confidentiality which an Applicant may have. There can be no circumstances in which it would be justified for an Applicant to keep information relating to its ownership and control confidential where it is tendering to undertake public sector work and receive public sector funds. Thus, confidentiality will not be accepted by the Procuring Entity as a justification for an Applicant's failure to disclose, or failure to provide required information on its ownership and control.
- 15.6 The Applicant shall provide further documentary proof, information or authorizations that the Procuring Entity may request in relation to ownership and control which information on any changes to the information which was provided by the Applicant under ITT 6.3. The obligations to require this information shall continue for the duration of the procurement process and contract performance and after completion of the contract, if any change to the information previously provided may reveal a conflict of interest in relation to the award or management of the contract.
- 15.7 All information provided by the Applicant pursuant to these requirements must be complete, current and accurate as at the date of provision to the Procuring Entity. In submitting the information required pursuant to these requirements, the Applicant shall warrant that the information submitted is complete, current and accurate as at the date of submission to the Procuring Entity.
- 15.8 If an Applicant fails to submit the information required by these requirements, its application will be rejected. Similarly, if the Procuring Entity is unable, after taking reasonable steps, to verify to a reasonable degree the information submitted by an Applicant pursuant to these requirements, then the application will be rejected.
- 15.9 If information submitted by an Applicant pursuant to these requirements, or obtained by the Procuring Entity (whether through its own enquiries, through notification by the public or otherwise), shows any conflict of interest which could materially and improperly benefit the Applicant in relation to the procurement or contract management process, then:
- a. If the procurement process is still ongoing, the Applicant will be disqualified from the procurement process,
  - b. If the contract has been awarded to that Applicant, the contract award will be set aside,
- The Applicant will be referred to the relevant law enforcement authorities for investigation of whether the Applicant or any other persons have committed any criminal offence.

**15.10** If an Applicant submits information pursuant to these requirements that is incomplete, inaccurate or out-of-date, or attempts to obstruct the verification process, then the consequences ITT 6.7 will ensue unless the Applicant can show to the reasonable satisfaction of the Procuring Entity that any such act was not material, or was due to genuine error which was not attributable to the intentional act, negligence or recklessness of the Applicant.

## **16. Signing of the Application and Number of Copies**

**16.1** The Applicant shall prepare one original of the documents comprising the Application as described in ITA 11 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by an authorized representative of the JV on behalf of the JV and so as to be legally binding on all the members as evidenced by a power of attorney signed by their legally authorized signatories.

**16.2** The Applicant shall submit copies of the signed original Application, in the number specified in the PDS, and clearly mark them "COPY". In the event of any discrepancy between the original and the copies, the original shall prevail.

## **17. Submission of Applications Sealing and Marking of Applications**

**17.1** The Applicant shall enclose the original and the copies of the Application in a sealed envelope that shall:

- a Bear the name and address of the Applicant;
- b Be addressed to the Procuring Entity, in accordance with ITA 17.1; and
- c Bear the specific identification of this Registration process indicated in the PDS 1.1.

**17.2** The Procuring Entity will accept no responsibility for not processing any envelope that was not identified as required in ITA 16.1 above.

## **18. Deadline for Submission of Applications**

**18.1** Applicants may either submit their Applications by mail or by hand. Applications shall be received by the Procuring Entity at the address and no later than the deadline indicated in the PDS. When so specified in the PDS, Applicants have the option of submitting their Applications electronically, in accordance with electronic Application submission procedures specified in the PDS.

**18.2** The Procuring Entity may, at its discretion, extend the deadline for the submission of Applications by amending the Registration Document in accordance with ITA 8, in which case all rights and obligations of the Procuring Entity and the Applicants subject to the previous deadline shall thereafter be subject to the deadline as extended.

## **19. Late Applications**

**19.1** The Procuring Entity reserves the right to accept applications received after the deadline for submission of applications, unless otherwise specified in the PDS. If late applications will be accepted, they must be received not later than the date specified in the TDS after the deadline for submission of applications.

## **20. Opening of Applications**

- 20.1 The Procuring Entity shall open all Applications at the date, time and place specified in the PDS. Late Applications shall be treated in accordance with ITA 19.1.
- 20.2 Applications submitted electronically (if permitted pursuant to ITA 17.1) shall be opened in accordance with the procedures specified in the PDS.
- 20.2 The Procuring Entity shall prepare a record of the opening of Applications to include, as a minimum, the name of the Applicants. A copy of the record shall be distributed to all Applicants.

## **21. Procedures for Evaluation of Applications**

### **Confidentiality**

- 21.1 Information relating to the Applications, their evaluation and results of the Registration shall not be disclosed to Applicants or any other persons not officially concerned with the Registration process until the notification of Registration results is made to all Applicants in accordance with ITA 28.
- 21.2 From the deadline for submission of Applications to the time of notification of the results of the Registration in accordance with ITA 28, any Applicant that wishes to contact the Procuring Entity on any matter related to the Registration process may do so only in writing.

### **Clarification of Applications**

- 21.3 To assist in the evaluation of Applications, the Procuring Entity may, at its discretion, ask an Applicant for a clarification (including missing documents) of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Procuring Entity and all clarifications from the Applicant shall be in writing.
- 21.1 If an Applicant does not provide clarifications and/or documents requested by the date and time set in the Procuring Entity's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.

### **Responsiveness of Applications**

- 21.4 The Procuring Entity may reject any Application which is not responsive to the requirements of the Registration Document. In case the information furnished by the Applicant is incomplete or otherwise requires clarification as per ITA 21.1, and the Applicant fails to provide satisfactory clarification and/or missing information, it may result in disqualification of the Applicant.

### **Margin of Preference**

- 21.5 Unless otherwise specified in the PDS, a margin of preference shall not apply in the Tendering process resulting from this prequalification.

### **Nominated Subcontractors**

- 21.6 Unless otherwise stated in the PDS, the Procuring Entity does not intend to execute any specific elements of the works by sub-contractors selected in advance by the Procuring Entity (so-called "Nominated Subcontractors").

21.7 The Applicant shall not propose to subcontract the whole of the Works or Goods. The maximum limit of subcontracting permitted under the contract may be specified by the Procuring Entity in the Tendering Document. The Procuring Entity, in ITA 25.2, may permit the Applicant to propose subcontractors for certain specialized parts of the contract as indicated there in as ("Specialized Subcontractors"). Applicants planning to use such Specialized Subcontractors shall specify, in the Application Submission Letter, the activity (is) or parts of the Works proposed to be subcontracted along with details of the proposed subcontractors including their qualification and experience.

## **22 Evaluation of Applications and Registration of Applicants Evaluation of Applications**

22.1 The Procuring Entity shall use the factors, methods, criteria, and requirements defined in Section III, Qualification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Procuring Entity reserves the right to waive min or deviations from the qualification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the Contract.

22.2 Subcontractors proposed by the Applicant shall be fully qualified and meet the minimum specific experience criteria as specified for their parts of the proposed contract for Works or Goods or non-consulting services. The subcontractor's qualifications shall not be used by the Applicant to qualify for the Works or Goods or non-consulting services unless their parts of the Works or Goods or non-consulting services were previously designated by the Procuring Entity in the PDS as can be met by Specialized Subcontractors, in which case:

- The Specialized Subcontractors shall meet the minimum qualification requirements specified in Section III, and
- The qualifications with respect to specific experience of the Specialized Subcontractor proposed by the Applicant may be added to the qualification of the Applicant for the purpose of the evaluation.

Unless the Applicant has been determined prequalified on its own without taking into account the qualification and experience of the proposed specialized sub-contractor, the tender submitted by the Applicant shall include the same specialized sub-contractor failing which, such tender may be rejected unless a change in the specialized sub-contractor was requested by the Applicant and approved by the Procuring Entity subsequent to Registration but before the tender submission deadline in accordance with ITA 30.

22.3 In case of multiple contracts, Applicants should indicate in their Applications the individual contract or combination of contracts in which they are interested. The Procuring Entity shall prequalify each Applicant for each lot and for a combination of contracts for which the Applicant has thereby indicated its interest and for which the Applicant meets the appropriate aggregate requirements the Eligibility and Qualification Criteria.

22.4 Further, in the case of multiple contracts, the Procuring Entity will prepare the Eligibility and Qualification Criteria Form for items 3.1, 3.2, 4.2(a) and 4.2(b) for each Lot, to be completed by applicants.

22.5 Only the qualifications of the Applicant shall be considered. The qualifications of other firms, including the Applicant's subsidiaries, parent entities, affiliates, subcontractors (other than Specialized Subcontractors in accordance with ITA 25.2 above) or any other firm(s) different from the Applicant shall not be considered.

### **23. Procuring Entity's Right to Accept or Reject Applications**

23.1 The Procuring Entity reserves the right to accept or reject any Application, and to annul the Registration process and reject all Applications at any time, without thereby incurring any liability to the Applicants.

### **24. Registration of Applicants**

24.1 All Applicants whose Applications substantially meet or exceed the specified qualification requirements will be prequalified by the Procuring Entity. The Procuring Entity shall notify all Applicants in writing of the names of those Applicants who have been prequalified or Conditionally prequalified. In addition, those Applicants who have been disqualified will be informed separately.

24.2 Applicants that have not been prequalified may write to the Procuring Entity to request, in writing, the ground son which they were disqualified.

### **25. Invitation to Tender**

25.1 Promptly after the notification of the results of the prequalification, the Procuring Entity shall invite Tenders from all the Applicants that have been prequalified or conditionally prequalified. Applicants may be required to provide a Tender Security or a Tender-Securing Declaration acceptable to the Procuring Entity in the form and an amount to be specified in the tendering document.

25.2 The successful Applicant shall be required to provide a Performance Security as specified in the tendering document.

### **26. Changes in Qualifications of Applicant**

26.1 Any change in the structure or formation of an Applicant after being prequalified in accordance with ITA 27 and invited to tender (including, in the case of a JV, any change in the structure or formation of any member and also including any change in any specialized subcontractor whose qualifications were considered to prequalify the Applicant) shall be subject to the written approval of the Procuring Entity prior to the deadline for submission of Tenders. Such approval shall be denied if

- (i) a prequalified applicant proposes to associate with a disqualified applicant or in case of a disqualified joint venture, any of its members;
- (ii) as a consequence of the change, the Applicant no longer substantially meets the qualification criteria set forth in Section III (Qualification Criteria and Requirements); or
- (iii) in the opinion of the Procuring Entity, the change may result in a substantial reduction in competition. Any such change should be submitted to the Procuring Entity not later than fourteen (14) days after the date of the Invitation to Tender.

### **27. Procurement Related Complaints and Administrative Review**

27.1 The procedures for making a Procurement-related Complaint are as specified in the PDS.

27.2 A request for administrative review shall be made in the form provided.

## REGISTRATION DATA SHEET (RDS)

Reference to ITC Clause	PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERS
<b>A. General</b>	
ITA 1.1	The Procuring Entity is: <b>TECHNICAL UNIVERSITY OF MOMBASA</b> <b>P.O. BOX90420-80100</b> <b>MOMBASA</b> <b>WEBSITE: <a href="http://www.tum.ac.ke">www.tum.ac.ke</a></b>  The identification of the Invitation for Registration is: <b>TUM/REG/2023-2025</b>  The particular type of Registration is on: <b>General categories</b>
ITA 5.2	Maximum number of members in the JV shall be: <b>NOT REQUIRED</b>
<b>B. Contents of the Prequalification Document</b>	
ITA 8.1	For clarification purposes, the Procuring Entity's address is <a href="mailto:procurement@tum.ac.ke">procurement@tum.ac.ke</a>
ITA 8.3	Questions and requests for clarification made in writing or by email shall reach the Procuring Entity not later than <b>Seven (7) days from closing date.</b>
ITT 9.2	Addendum issued shall be published at the website <a href="http://www.tum.ac.ke">www.tum.ac.ke</a>
<b>C. Preparation of Applications</b>	
ITA 12.1 (d)	The Applicant shall submit with its Application, the following additional documents: <b>[NOT APPLICABLE]</b>
ITA 15.2(b)	The source for determining exchange rates is <b>[NOT APPLICABLE]</b>
ITA 16.2	In addition to the original, the number of copies to be submitted with the Application is: <b>ONE COPY</b>
<b>D. Submission of Applications</b>	
ITA 17.1	The deadline for Application submission is: <b>5<sup>th</sup> July, 2023 at 10.00am</b>
ITA 18.1	Late Applications will be returned unopened to the Applicants.
ITA 19.1	The Procuring Entity will not accept late applications.
ITA 20.1	The opening of the Applications shall be at <b>5<sup>th</sup> July, 2023 at 10.00am</b>
<b>E. Procedures for Evaluation of Applications</b>	
ITA 25.1	At this time the Procuring Entity <i>does not intend</i> to execute certain specific parts of the Works by sub-contractors selected in advance.
ITA 31.1	An Applicant wishes to make a Procurement-related Complaint; the Applicant should submit its complaint in writing (by the quickest means available, that is either by hand delivery or email), to the address below; <b>TECHNICAL UNIVERSITY OF MOMBASA</b> <b>P.O. BOX90420-80100</b> <b>MOMBASA</b> <b>Email: <a href="mailto:procurement@tum.ac.ke">procurement@tum.ac.ke</a></b>



## SECTION III

### 4.1 QUALIFICATION CRITERIA AND REQUIREMENTS

The Procuring Entity will start by examining all the tenders to ensure they meet in all respects the eligibility criteria and other mandatory requirements in the ITT, and that the tender is complete in all aspects in meeting the requirements provided for in the preliminary evaluation criteria outlined below. Tenders that do not pass the Preliminary Examination will be considered non-responsive and will not be considered further.

#### 4.1.1 MANDATORY REQUIREMENTS

The Mandatory requirements are designed into two categories

##### (a) Group 1 (YWPD)

This pertains to documents to be submitted by business and firms registered by the Public Procurement Directorate as belonging to Youth, Women and People with Disability. They include

#### PRELIMINARY EVALUATION CRITERIA (Reserved Categories)

S/No	Requirement	Yes /NO
1.	Copy of Certificate of Registration/Incorporation	
2.	Copy of a recent (less than a year) CR12 /CR13 or ID for sole and partnerships	
3.	Copy of Valid Tax Compliance Certificate OR Exemption Certificate 2023;	
4.	Copy of Valid AGPO Certificate issued by National Treasury	
5.	Duly Filled Confidential Business questionnaire	
6.	Duly signed application submission form/letter	
7.	Duly filled, signed and stamped self - declaration forms (SD1 and SD2)	
<b>RESPONSIVENESS</b>		

**At this stage, the tenderer's submission will either be responsive in all the mandatory requirements (MR) above or non-responsive. The non-responsive submissions will be eliminated from the entire evaluation process and will not be registered. The responsive applicants will be registered.**



**(b) Group 2 (OPEN)**

This pertains to documents to be submitted by well established firms to include copies of:

**Mandatory Evaluation Criteria**

**EVALUATION CRITERIA**

**PRELIMINARY EVALUATION CRITERIA (Open Categories)**

<b>MR</b>	<b>Requirement</b>	<b>Yes /No</b>
1.	Copies of Certificate of Registration/Incorporation	
2.	Copy of Valid Tax Compliance Certificate for the year 2023.	
3.	Copy of a recent (less than a year) CR12 /CR13 or ID for sole and partnerships	
4.	Evidence of a Physical registered office (Attach copy of Title Deed/Lease Agreement etc.)	
5.	Duly Filled, Signed and Stamped Registration of Suppliers Application <b>Form</b>	
6.	Attach valid Business Permit from county government for the year 2023.	
7.	Duly Filled Confidential Business questionnaire	
8.	Duly signed application submission form/letter	
9.	Duly filled, signed and stamped self - declaration forms (SD1 and SD2)	
<b>RESPONSIVENESS</b>		

At this stage, the tenderer's submission will either be responsive in all the mandatory requirements (MR) above or non-responsive. The non-responsive submissions will be eliminated from the entire evaluation process and will not be registered. The responsive applicants will be registered.

**NOTE:**

- Youth Women and people with disability will be deemed to be technically qualified if they present all mandatory requirements above.
- Applicants under specialized/technical categories i.e. (11,25,29 etc.) are advised to submit the instruments of trade/relevant certifications alongside the requested statutory documents.
- The list will be used for source for quotations competitively basis as and when needs arises.
- Applicant may attach evidence of previous assignment i.e. LPOs delivery notes, contracts, completion certificate etc.

SECTION IV

APPLICATION FORMS

1. Application Submission Letter

Date..... [insert day, month, and year]  
ITT No. and title..... [insert ITT number and title]

To ..... [Insert full name of Procuring Entity] We, the undersigned, apply to be prequalified for the referenced ITT and declare that:

- a) No reservations: We have examined and have no reservations to the Registration Document, including Addendum(s) No(s), issued in accordance with ITA 8: [insert the number and issuing date of each addendum].
- b) No conflict of interest: We have no conflict of interest in accordance with ITA 5.7;
- c) Eligibility: We (and our subcontractors) meet the eligibility requirements as stated ITA 5, we have not been suspended by the Procuring Entity based on execution of a Tender/Proposal- Securing Declaration in accordance with ITA 5.8;

Suspension and Debarment: We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the PPRA. Further, we are not ineligible under the Kenya laws or official regulations or pursuant to a decision of the United Nations Security Council;

State-owned enterprise or institution: [select the appropriate option and delete the other] [We are not a state- owned enterprise or institution] / [We are a state-owned enterprise or institution but meet the requirements of ITA 5.9];

- f) Subcontractors and Specialized Subcontractors: We, in accordance with ITA 24.2 and 25.2, plan to subcontract the following key activities and/or parts of the works or supply contracts:  
..... [Insert any of the key activities identified in Section III-4.2 (a) or (b) or 4.3(a) or (b) which the Procuring Entity has permitted under the Registration Document and which the Applicant intends to subcontract along with complete details of the Specialized Subcontractors, their qualification and experience]
- (g) Commissions, gratuities, fees: We declare that the following commissions, gratuities, or fees have been paid or are to be paid with respect to the Registration process, the corresponding Tendering process or execution of the Contract:

<u>Name of Recipient</u>	<u>Address</u>	<u>Reason</u>	<u>Amount</u>
[Insert full name for each occurrence]	[Insert Street/number/city/count try]	[Indicate reason]	[Specify amount Currency, value, exchange rate and KENYA SHILLING Equivalent]

[If no payments are made or promised, add the following statement: "No commissions or gratuities have been or are to be paid by us to agents or any third party relating to this Application]

- (h) Not bound to accept: We understand that you may cancel the Registration process at any time and that you are neither bound to accept any Application that you may receive nor to invite the prequalified Applicants to Tender for the contract subject of this Registration process, without incurring any liability to the Applicants, in accordance with ITA 26.1.
- (i) True and correct: All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief.

Signed

.....[insert signature(s) of an authorized representative(s) of the Applicant]

Name ..... [insert full name of person signing the Application]

In the capacity of.....[insert capacity of person signing the Application]

Duly authorized to sign the Application for \_\_\_\_\_ and on \_\_\_\_\_ behalf of: Applicant's Name ..... [insert full name of Applicant or the name of the JV]

Address ..... [insert street number/town or city/country address]

Dated on..... [insert day number] day of [insert month], [insert year]

[For a joint venture, either all members shall sign or only the authorized representative, in which case the power of attorney to sign on behalf of all members shall be attached]

**2 Form ELI -1.1 - Applicant Information Form**

Date.....[insert day, month, year]

ITT No. and title .....[insert ITT number and title]

Page .....[insert page number] of [insert total number] pages

Applicant's name [insert full name]
In case of Joint Venture (JV), name of each member: [insert full name of each member in JV]
Applicant's actual or intended country of registration: [indicate country of Constitution]
Applicant's actual or intended year of incorporation: [indicate year of Constitution]
Applicant's legal address [in country of registration]: [insert street/ number/ town or city/ country]
Applicant's authorized representative information Name: [insert full name] Address: [insert street/ number/ town or city/ country] Telephone/Fax numbers: [insert telephone/fax numbers, including country and city codes] E-mail address: [indicate e-mail address]
1. Attached are copies of original documents of <input type="checkbox"/> Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 5.6. <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 5.3. <input type="checkbox"/> In case of state-owned enterprise or institution, in accordance with ITA 5.9 documents establishing: Legal and financial autonomy Operation under commercial law Establishing that the Applicant is not under supervision of the Procuring Entity
2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.

## TENDERER'S ELIGIBILITY - CONFIDENTIAL BUSINESS QUESTIONNAIRE

### Instruction to Tenderer

Tender is instructed to complete the particulars required in this Form. Tenderer is further reminded that it is an offence to give false information on this Form.

### Tenderer's Details

	ITEM	DESCRIPTION
1	Name of the Procuring Entity	
2	Reference Number of the Tender	
3	Name of the Tenderer	
4	Date and Time of Tender Opening	
5	Full address and Contact Details of the Tenderer	Country
		City
		Location
		Building
		Floor
		Postal Address
		Name and Email of Contact Person
6	Current Trade License Registration Number and Expiring Date	
7	Name, Country and Full Address (postal and physical addresses, email and telephone number) of registering Body/Agency	
8	Description of Nature of Business	
9	Maximum value of Business which the Tenderer handles	
10	State if Tenders Company is listed in stock exchange, give full name and full address (postal and physical address, email and telephone number) of state which stock exchange	

### General and Specific Details

- a) **Sole Proprietor**, provide the following details. Name in full \_\_\_\_\_  
Age \_\_\_\_\_  
Nationality \_\_\_\_\_  
Country of Origin \_\_\_\_\_  
Citizenship \_\_\_\_\_

b) **Partnership**, provide the following details.

	Name of Partners	Nationality	Citizenship	% Shares Owned
1				
2				
3				
4				
5				
6				
7				
8				

c) **Registered Company**, provide the following details.

i) Private or public Company \_\_\_\_\_

ii) State the nominal and issued capital of the Company-  
 Nominal Kenya Shillings (Equivalent)  
 .....

Issued Kenya Shillings (Equivalent) .....

iii) Give details of Directors as follows

	Name of Directors	Nationality	Citizenship	% Shares Owned
1				
2				
3				
4				
5				
6				
7				
8				

A. SELF - DECLARATION FORMS

**FORM SD1**

SELF DECLARATION THAT THE PERSON/TENDERER IS NOT DEBARRED IN THE MATTER OF THE PUBLIC PROCUREMENT AND ASSET DISPOSAL ACT 2015.

I, ....., of Post Office Box.....being a resident of ..... in the Republic of ..... do hereby make a statement as follows: -

1. THAT I am the Company Secretary/ Chief Executive/Managing Director/Principal Officer/Director of ..... (insert name of the Company) who is a Bidder in respect of TenderNo. .... for ..... (insert tender title/description) for ..... (insert name of the Procuring entity) and duly authorized and competent to make this statement.
2. THAT the aforesaid Bidder, its Directors and subcontractors have not been debarred from participating in procurement proceeding under Part IV of the Act.
3. THAT what is deponed to herein above is true to the best of my knowledge, information and belief.

..... (Signature) ..... (Date) ..... (Title)

Bidder Official Stamp

**SELF DECLARATION THAT THE PERSON/TENDERER WILL NOT ENGAGE IN ANYCORRUPT OR FRAUDULENT PRACTICE**

I, ..... of P. O. Box ..... being a resident of ..... in the Republic of ..... do hereby make a statement as follows: -

1. THAT I am the Chief Executive/Managing Director/Principal Officer/Director of..... (*insert name of the Company*) who is a Bidder in respect of Tender No.....for ..... (*insert tender title/description*) for ..... (*insert name of the Procuring entity*) and duly authorized and competent to make this statement.
2. THAT the aforesaid Bidder, its servants and/or agents /subcontractors will not engage in any corrupt or fraudulentpractice and has not been requested to pay any inducement to any member of the Board, Management, Staff and/oremployees and/or agents of ..... (*insert name of the Procuring entity*) which is the procuring entity.
3. THAT the aforesaid Bidder, its servants and/or agents /subcontractors have not offered any inducement to any member of the Board, Management, Staff and/or employees and/or agents of (name of the procuring entity)
4. THAT the aforesaid Bidder will not engage /has not engaged in any corrosive practice with other bidders participating in the subject tender
5. THAT what is deponed to herein above is true to the best of my knowledge information and belief.

.....  
(Title) (Signature) (Date)

Bidder's Official Stamp



**Request for Review**

**FORM FOR REVIEW (r.203 (1))**

**PUBLIC PROCUREMENT ADMINISTRATIVE REVIEW BOARD**

**APPLICATION NO..... OF.....20.....**

**BETWEEN**

.....

**APPLICANT AND**

.....**RESPONDENT (Procuring Entity)**

Request for review of the decision of the ..... (Name of the Procuring Entity of .....dated the...day of .....20.....in the matter of Tender No.....of .....20.....for (Tender description).

**REQUEST FOR REVIEW**

I/We....., the above named Applicant(s), of address: Physical address ..... P. O. Box No..... Tel. No..... Email ..... , hereby request the Public Procurement Administrative Review Board to review

The whole/part of the above mentioned decision on the following grounds, namely:1.

2.  
By this memorandum, the Applicant requests the Board for an order/orders that:1.

2.  
SIGNED ..... (Applicant) Dated on..... day of..... / ...20.....

FOR OFFICIAL USE ONLY Lodged with the Secretary Public Procurement Administrative Review Board on ..... day of .....20.....

**SIGNED**  
**Board Secretary**